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2024084400 TO 15712739881#

P. 01

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**FACSIMILE TRANSMITTAL**

To: Ms. Paulette Kidwell  
Firm: USPTO  
Fax No.: 571-270-9881 Phone No.: \_\_\_\_\_  
          Application No. 10/529,638  
Subject: Atty. Doc. 9282.0058-00 Date: July 11, 2006

From: Anthony J. Lombardi Phone No.: 202-408-4155  
Fax # Verified by: pnewton MD 1005 No. of Pages (incl. this page) 9

Confirmation Copy to Follow: No

**Message:**

Please see the attached Transmittal Letter, Copy of Notification of Missing Requirements, Declaration and Power of Attorney, Petition for Extension of Time, and PTO Date Stamped Post Card as filed on November 4, 2005. Thank you.

If there is a problem with this transmission, notify fax room at (202) 408-4174 or the sender at the number above.

This facsimile is intended only for the individual to whom it is addressed and may contain information that is privileged, confidential, or exempt from disclosure under applicable law. If you have received this facsimile in error, please notify the sender immediately by telephone (collect), and return the original message by first-class mail to the above address.

51

**PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:**

In Re Application of: Josef DIETL et al.

Confirmation No.: 1384

Application No.: 10/529,638

Group Art Unit: Unknown

Filed: March 31, 2005

Examiner: Unknown

For: XML INTERFACES IN UNIFIED RENDERING

1. Transmittal Letter Concerning A Filing Under 35 U.S.C. 371 (2 pages);
2. Copy of Notification of Missing Requirements under 35 U.S.C. 371 (2 pages);
3. Declaration and Power of Attorney (2 pages);
4. Petition for Extension of Time (1 page); and
5. Check in the amount of \$250.00 (\$130 for missing requirements fee and \$120 for one month extension of time).



Dated November 4, 2005

Docket No.: 09282.0058-00

(Due Date: 11/19/05)

CGG/TJL/pnewton - Mail Drop 1004

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P. 03

000 FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE REV. 2011		CUSTOMER NO. 22,852
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER 09282.0058-00000
		U.S. APPLICATION NO. 10/529,638
INTERNATIONAL APPLICATION NO. PCT/EP2003/010442	INTERNATIONAL FILING DATE September 18, 2003	PRIORITY DATE CLAIMED October 1, 2002
TITLE OF INVENTION <b>XML INTERFACES IN UNIFIED RENDERING</b>		
APPLICANT(S) FOR DO/EO/US Josef DIETL and Reiner HAMMERICH		
Applicant(s) herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1.	<input type="checkbox"/>	This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.
2.	<input checked="" type="checkbox"/>	This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.
3.	<input type="checkbox"/>	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4.	<input type="checkbox"/>	The US has been elected by the expiration of 19 months from the priority date (Article 31).
5.	<input type="checkbox"/>	A copy of the International Application as filed (35 U.S.C. 371 (c)(2)).
	a. <input type="checkbox"/>	is attached hereto (required only if not communicated by the International Bureau).
	b. <input type="checkbox"/>	has been communicated by the International Bureau.
	c. <input type="checkbox"/>	is not required, as the application was filed with the United States Receiving Office (RO/US).
6.	<input type="checkbox"/>	An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
	a. <input type="checkbox"/>	is attached hereto.
	b. <input type="checkbox"/>	has been previously submitted under 35 U.S.C. 154 (d)(4).
7.	<input type="checkbox"/>	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)).
	a. <input type="checkbox"/>	are attached hereto (required only if not communicated by the International Bureau).
	b. <input type="checkbox"/>	have been communicated by the International Bureau.
	c. <input type="checkbox"/>	have not been made; however, the time limit for making such amendments has NOT expired.
	d. <input type="checkbox"/>	have not been made and will not be made.
8.	<input type="checkbox"/>	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9.	<input checked="" type="checkbox"/>	An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10.	<input type="checkbox"/>	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
Items 11 to 20 below concern document(s) or information included:		
11.	<input type="checkbox"/>	Information Disclosure Statement under 37 CFR 1.97 and 1.98
12.	<input type="checkbox"/>	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13.	<input type="checkbox"/>	A <b>FIRST</b> preliminary amendment.
14.	<input type="checkbox"/>	A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.
15.	<input type="checkbox"/>	A Substitute specification.
16.	<input type="checkbox"/>	A change of power of attorney and/or address letter.
17.	<input type="checkbox"/>	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.
18.	<input type="checkbox"/>	A second copy of the published international application under 35 U.S.C. 154 (d)(4).
19.	<input type="checkbox"/>	A second copy of the English language translation of the international application 35 U.S.C. 154 (d)(4).
20.	<input type="checkbox"/>	Other items or information:
	a. <input type="checkbox"/>	Copy of cover page of International Publication No. WO 2004/031946 A1
	b. <input checked="" type="checkbox"/>	Copy of Notification of Missing Requirements.
	c. <input type="checkbox"/>	

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
10/529,638		PCT/EP2003/010442		09282.0058-00000	
21. <input checked="" type="checkbox"/> The following fees are submitted:					
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):				\$300.00	
National Stage Search Fee.....				\$500.00	
National Stage Examination Fee.....				\$200.00	
ENTER APPROPRIATE BASIC FEE AMOUNT =					
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of additional 50 or fraction thereof (rounded up to a whole number)	Rate		
- 100 =	/50 =		x 250.00	\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)).				<input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30	\$130.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	- 20 =		x \$50.00	\$	
Independent Claims	- 3 =		x \$200.00	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$360.00	\$
TOTAL OF THE ABOVE CALCULATIONS =				\$130.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
SUBTOTAL =				\$130.00	
<input checked="" type="checkbox"/> Petition for Extension of Time under 37 C.F.R. 1.136(a) (1 month)				\$120.00	
TOTAL NATIONAL FEE =				\$250.00	
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.				\$	
TOTAL FEES ENCLOSED =				\$250.00	
				Amount to be refunded:	\$
				charged:	\$
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>250.00</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>06-0916</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>					
<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO:</p> <p>Finnegan, Henderson, Farabow, Garrett &amp; Dunner, L.L.P. 901 New York Avenue, N.W. Washington, D.C. 20001-4413</p> <p>DATED: November 4, 2005</p>					
				<p><u>Anthony J. Lombardi</u> SIGNATURE Anthony J. Lombardi/Reg. No. 53,232 NAME/REGISTRATION NO.</p>	

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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address COMMISSIONER FOR PATENTS  
P.O. Box 1430  
Alexandria, Virginia 22313-1430  
www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/529,638	FIRST NAMED APPLICANT Josef Dietl	ATTY. DOCKET NO. 09282.0058-00000
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22852  
FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER  
LLP  
901 NEW YORK AVENUE, NW  
WASHINGTON, DC 20001-4413

INTERNATIONAL APPLICATION NO. PCT/EP03/10442	
IA FILING DATE 09/18/2003	PRIORITY DATE 10/01/2002

CONFIRMATION NO. 1384  
371 FORMALITIES LETTER  
\*OC000000016781084\*  
\*OC000000016781084\*

Date Mailed: 08/19/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/31/2005
- Copy of the International Search Report filed on 03/31/2005
- Preliminary Amendments filed on 03/31/2005
- Information Disclosure Statements filed on 03/31/2005
- U.S. Basic National Fees filed on 03/31/2005
- Priority Documents filed on 03/31/2005

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FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, LLP

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.16(f) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

Docketed 824/05 Attorney CGG/TJ/PWY  
Case 9282-58  
Due Date 10/19/05 w/lat  
Action Decl / Sdr due  
By (P) 824-05

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ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice MUST be returned with the response.*

PAULETTE R KIDWELL

Telephone: (703) 308-9140 EXT 216

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/529,638	PCT/EP03/10442	09282.0058-00000

FORM PCT/DO/EO/905 (371 Formalities Notice)

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